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December 6, 2000

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Assistant Commissioner for Patents Washington, DC 20231

Re:

Provisional Serial Number Assigned to Non-Provisional Application

"TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES"

Applicant: Weihong Xiong, et al. Our Docket No. T8345.NP

Dear Sir:

On November 16, 2000, we filed a non-provisional application with the USPTO. We have received our postcard back from the patent office indicating a provisional serial number, rather than a non-provisional serial number. For your information, we have enclosed a copy of our transmittal letter indicating that the application was filed as a non-provisional and claiming priority to the provisional application number. Also enclosed is a copy of the postcard for your reference.

Please issue a new non-provisional serial number to this patent application. If you have questions, or need any further information, please fee free to contact the undersigned. Thank you for your cooperation.

Very truly yours,

THORPE, NORTH & WESTERN, L.L.P.

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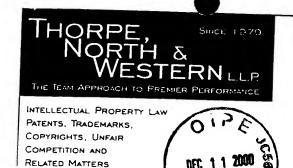
M. Wayne Western

Attorney for Applicant

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MWW/vm Enclosure

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November 16, 2000

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, DC 20231

Sir/Madam:



Transmitted herewith for filing is the patent application of WEIHONG XIONG and DINESH PATEL for TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES comprising 74 pages of specification and claims:

X Priority to U.S. Provisional Application No.60/166,497 filed on November 19, 1999, in the United States Patent & Trademark Office is hereby claimed.

## Enclosed also are:

- \_\_\_\_sheet(s) of drawings (informal)
- $\underline{X}$  No fees are enclosed.
- X No Declaration and Petition, Power of Attorney or Assignment are enclosed.
- X Applicant claims small entity status under 37 C.F.R. §1.27
- X A Certificate of Mailing by "Express Mail" certifying a filing date of November 16, 2000, by use of Express Mail Label No. EL327005013US.

EL327005013US

## CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail. "Post Office to Addressee" under 37 C.F.R. §1.10 on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

Signature Date Y. 16, 2000

- Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).
- The Commissioner is hereby authorized to charge payment of the following fees <u>X</u> associated with this communication or credit any overpayment to Deposit Account No. 20-0100.
  - Any additional filing fees required under 37 C.F.R. § 1.16. X
- The Commissioner is hereby authorized to charge payment of the following fees <u>X</u> during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.
  - <u>X</u> Any patent application processing fees under 37 C.F.R. § 1.17.
  - The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
  - Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims. <u>X</u>

Please send all future correspondence and direct all telephone calls to the attention of the undersigned.

Dated this \_\_\_\_\_day of November, 2000.

Respectfully submitted,

m, graymorteta

M. Wayne Western Attorney for Applicant Registration No. 22,788

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MWW/vm **Enclosures** 

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## United States Patent and Trademark Office

Thorpe, North & Western

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

60/249,380 11/17/2000 75 T8345 NP

**CONFIRMATION NO. 4286** 

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\*OC00000005928885\*

issue a NON-Provisional

FILING RECEIPT

Number. Claimed priority to: Date Mailed: 04/03/2001 Provisional Ao. 60/166, 497 filed 11-19-99.

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Weihong Xiong, Salt Lake City, UT; Dinesh Patel, Salt Lake City, UT;

If Required, Foreign Filing License Granted 04/02/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Transdermal delivery system for alkaloids of aconitum species

Data entry by : JONES, KIMBERLY

Team: OIPE

Date: 04/03/2001

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